OIPE 4012

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and Trademark Office: U.S. DePARTMENT OF COMMENCE

U.S. Patent and U.S. Patent Office

U.S. Patent Offi

Substitute for forby 449A/PTO		Complete if Known			
Substitute for fath 449A/PTO INFORMATION DISCLOSURE			LIDE	Application Number	10/510,119
				Filing Date	October 4, 2004
STATEMENT BY APPLICANT			ANT	First Named Inventor	Linda Diehl
(Use as many sheets as necessary)			Art Unit	-Not Yet Assigned	
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	0470-045183

1844

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Number - Kind Code ^{2 (if known)}	·		Passages or Relevant Figures Appear
		US-			
		US-		•	
		US-			
		US-		*	
		US-			
		US-			
		US-			
		บร			
		US-			
		US-			
		US-	•		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
No		WO 99 61065 A	12-02/1999	Tanox Inc.		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trudemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

/W0230410 11

Heli GAMPAT

9/4/07

PTO/SB/08b (07/05)

JAN 1 3 2006

Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

nd to a collection of information unless it contains a valid OMB control number Under the Paperwork Reduction Substitute for form 1449B/PTO Complete if Known Application Number 10/510,119 INFORMATION DISCLOSURE October 4, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Linda Diehl Art Unit Not Yet Assigned (Use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 2 of 2 Attorney Docket Number 0470-045183

1644

	NON PATENT LITERATURE DOCUMENTS	
Examiner Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
M	MELIEF, C.J. ET AL., "Strategies for Immunotherapy of Cancer", Academic Press Inc., vol. 75, pages 235-282, 2000	
W	WILCOX, R.A. ET AL., "Provision of Antigen and CD137 Signaling Breaks Immunological Ignorance, Promoting Regression of Poorly Immunogenic Tumors", Journal of Clinical Investigation, vol. 109, no. 5, pages 651-659, 2002	
a	MELERO, I. ET AL, "Monoclonal Antibodies Against the 4-IBB T-cell Activation Molecule Eradicate Established Tumors", Nature Medicine, vol. 3, no. 6, pages 682-685, 1997	
		-
		Г
.		
		<u> </u>
		⊢
Examiner	Date	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

{W0239410.1}

Signature

PALLER GIMBS 9/4/07

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.